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OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER BELYAEV, YANA	
			ART UNIT 1741	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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## Office Action Summary

Application No.

10/589,081

Applicant(s)

RODRIGUEZ CUARTAS ET AL.

Examiner

YANA BELYAEV

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 23 December 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) 15-18 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14, 19 and 20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

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## **DETAILED ACTION**

### **Supplemental Action**

1. The reference Brichard was not correctly cited in the last Office action. Brichard was cited as US 3,806,412 instead of US 3,801,412 on the PTO-892 filed 17 March 2011. The correct citation is shown on the attached PTO-892.
2. In response to applicant's telephone inquiry of 28 March 2011 regarding the last Office action, the following corrective action is taken.

The period for reply of 3 MONTHS set in said Office Action is restarted to begin with the mailing date of this letter.

### **Response to Arguments**

1. Applicant's arguments with respect to Cross have been considered but are moot in view of the new ground(s) of rejection.
2. Applicant's arguments with respect to Jeanvoine have been fully considered but they are not persuasive.

The Applicant argues that Jeanvoine does not describe any float installation. A float installation is a furnace completely separated from the melt furnace and from the refiners.

The Examiner respectfully disagrees. The claims never mention a float installation, but the claims do claim a float plant. Jeanvoine discloses a float plant, specifically Jeanvoine discloses an apparatus designed to melt and refine glasses of varied compositions, specifically glasses intended to feed a float plant for producing flat glass.

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The Applicant argues that Jeanvoine also fails to suggest selecting a nitrogen atmosphere for manufacturing a flat glass rich in lead and did not recognize the advantages of doing so. Jeanvoine is silent about the advantages provided by the invention, such as providing a lead-rich glass not contaminated with a grayish film of metallic lead.

The Examiner respectfully disagrees. Jeanvoine discloses a nitrogen atmosphere for manufacturing a flat glass rich in lead. Jeanvoine states that the alternative being to provide a non-oxidizing atmosphere above the melt (especially an N.sub.2 atmosphere) (paragraph 111). While Jeanvoine does not provide the same advantages of the nitrogen atmosphere as the instant application, this is permissible as the MPEP states that the reason or motivation to modify the reference may often suggest what the inventor has done, but for a different purpose or to solve a different problem. It is not necessary that the prior art suggest the combination to achieve the same advantage or result discovered by applicant. See, e.g., *In re Kahn*, 441 F.3d 977, 987, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006).

### **Claim Rejections - 35 USC § 103**

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. Claims 1-3, 5-8, 11 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 5,073,524 (Speit hereinafter) in view of US Patent 5,221,646 (Blackburn hereinafter) and further in view of US Patent Application 2002/0162358 (Jeanvoine hereinafter).

**Regarding claims 1-3, 7 and 19**, Speit discloses radiation shielding windows to be employed in various facilities such as research installations, employing or separating radioactive isotopes, and reprocessing plants (col. 1, lines 25-30 and Fig. 4), wherein the windows comprise 24-46 % by weight of lead oxide (col. 3, line 68). The window comprises multiple glass layers, wherein at least one of layers A-D comprises the glass according to this invention (col. 7, lines 10-13 and Fig. 4, "A"- "D"), wherein it is depicted in Figure 4 that all of the glass layers are flat panes.

Speit does not disclose the method by which the flat, radiation shielding windows are produced.

Blackburn discloses a neutron absorbing glass sheet which comprises from about 1 to about 25 weight percent lead oxide (col. 3, line 24) and is formed using a float glass process similar to that employed to form conventional commercial glass as is well known in the art (col.

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3, lines 59-62). It is interpreted by the examiner that the scope of “about 25 weight percent” includes 30 weight percent.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have made the flat window disclosed by Speit by the float glass process disclosed by Blackburn for glass sheets comprising from 1 to about 25 percent lead oxide. The motivation to do so would have been the rationale that a float glass process is a well known method in the art to form a glass sheets. It would have also been known to one of ordinary skill in the art at the time of the invention to have applies the float glass process to form glass sheets comprising 1 to about 25 weight percent lead oxide, as disclosed by Blackburn. Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to have applied the method for forming glass sheets comprising 1 to about 25 weight percent lead oxide disclosed in Blackburn to forming flat window panes comprising 24 to 46 percent by weight lead oxide, as disclosed by Speit.

Speit in view of Blackburn does not disclose that the floating occurs in a float plant with a neutral gaseous atmosphere.

However, Jeanvoine discloses apparatuses designed to melt and refine glasses of highly varied compositions, in this case glasses intended to feed a float plant for producing flat glass (paragraph 75), wherein the atmosphere in the float plant is a nitrogen atmosphere (paragraph 111). Since the atmosphere is a nitrogen atmosphere, it is interpreted by the examiner that the atmosphere comprises no oxygen. In the alternative, it would have been obvious to one of ordinary skill in the art at the time of the invention to have limited the amount of oxygen to less

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than 5 ppmv oxygen in order to ensure purity of the nitrogen atmosphere. Since the atmosphere is a nitrogen atmosphere, the atmosphere does not comprise hydrogen.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have applied the nitrogen atmosphere of Jeanvoine to the invention disclosed by Speit in view of Blackburn. The motivation to do so, disclosed by Jeanvoine, would have been the rationale that a non-oxidizing atmosphere above the melt will prevent the furnace walls of the float plant from oxidizing (paragraph 11).

**Regarding claim 6 and 11,** Speit in view of Blackburn do not disclose a molten metal treatment station, but Jeanvoine who discloses apparatuses designed to melt and refine glasses of highly varied compositions, in this case glasses intended to feed a float plant for producing flat glass (paragraph 75), teaches that the float plant includes a molten metal treatment station (paragraph 89-90).

Jeanvoine also teaches that before the float plant, the glass is melted in a furnace that includes at least one submerged burner (Figure 1, “1” “).

It would have been obvious to one of ordinary skill in the art at the time of the invention to have combined the teachings of Jeanvoine with Speit in view of Blackburn, since Jeanvoine teaches forming glass sheets without any batch stone, bubbles or any cause of defects (paragraph 3).

4. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Speit in view of Blackburn and further in view of Jeanvoine as applied to claims 1-3, 5-8, 11 and 19 above, and as evidenced by Lead Galliate Glasses (Shelby hereinafter).

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**Regarding claim 8**, neither Speit nor Blackburn discloses glass comprising 60% by weight lead oxide.

Although, neither Speit nor Blackburn discloses glass comprising 60% by weight lead oxide, Shelby discloses glasses containing 60 to 80 mol% lead oxide (abstract). Shelby specifically teaches that glasses containing 70 to 75 mol% PbO are good glass formers, with little tendency to crystallize, and exhibit good weathering behavior on exposure to normal atmosphere (abstract).

However, it would have been obvious to one of ordinary skill in the art at the time of the invention to have simply changed the lead oxide concentration in a flat glass to 60 % lead oxide, which is a known lead oxide concentration in glass (Shelby, abstract) without making any changes to a known method of forming flat glass, specifically by a float glass process, to produce a flat glass comprising 60% lead oxide. That constitutes a simple substitution of one known element for another to obtain predictable results.

5. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Speit in view of Blackburn and further in view of Jeanvoine as applied to claims 1-3, 5-8, 11 and 19 above, and further in view of US Patent Application 2005/0028559 (Hiromatsu hereinafter) and US Patent 5,120,579 (Gardner hereinafter).

**Regarding claim 4** Speit in view of Blackburn and further in view of Jeanvoine do not disclose that the temperature of the bath of molten metal is lower than the temperature of a bath of molten metal in a float plant for a soda-lime-silica glass containing no lead.



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Hiromatsu, however, discloses that the molten metal in a float plant for a soda-lime-silica glass containing no lead is between 600 and 1050 degrees Celsius and is directly correlated to the glass transition point of soda lime silica glass, which is 550 degrees Celsius (paragraph 5).

Gardner discloses that the glass transition point of glass comprised substantially of lead oxide is about 300-400 degree Celsius (column 1, lines 46-49).

Thus, it would have been obvious for one of ordinary skill in the art at the time of the invention to have the temperature of the bath of molten metal be lower in a float plant for a glass containing lead oxide than for a soda lime silica glass containing no lead, since the glass transition point of glass comprised substantially of lead oxide is less than the glass transition point of soda lime silica glass.

6. Claims 5, 9, 10, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Speit in view of Blackburn in view of Jeanvoine as applied to claims 1-3, 5-8, 11 and 19 above, and further in view of US 3,801,412 (Brichard hereinafter) and LX-57B Lead Glass for Radiation Shielding (Direct Scientific hereinafter).

**Regarding claims 5, 9, 10, and 20,** Speit in view of Blackburn do not disclose that the temperature of the floating glass is between 500 and 800 degrees C.

However, Brichard discloses that in at least one zone where the temperature of the floating glass is in the range of 590°C to 800°C (2:33-35).

Furthermore, Brichard teaches that it is generally known to maintain a generally neutral and/or protective atmosphere inside the tank. In this way active elements such as oxygen are prevented from entering into chemical reaction with the molten material to form compounds

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liable to form agents which would contaminate the glass or spoil the surface quality of the sheet or ribbon (1: 14-21).

Since Brichard teaches that it is desirable to prevent oxygen from entering into chemical reaction with the molten material, the Examiner interprets that this is a teaching of the gaseous atmosphere containing less than 5 ppmv oxygen, preferably no oxygen.

Furthermore, Jeanvoine discloses apparatuses designed to melt and refine glasses of highly varied compositions, in this case glasses intended to feed a float plant for producing flat glass (paragraph 75), wherein the atmosphere in the float plant is a nitrogen atmosphere (paragraph 111). Since the atmosphere is a nitrogen atmosphere, it is interpreted by the examiner that the atmosphere comprises no oxygen. In the alternative, it would have been obvious to one of ordinary skill in the art at the time of the invention to have limited the amount of oxygen to less than 5 ppmv oxygen in order to ensure purity of the nitrogen atmosphere. Since the atmosphere is a nitrogen atmosphere, the atmosphere does not comprise hydrogen.

It would have been obvious to one of ordinary skill in the art at the time of the invention to have combined Brichard with Speit in view of Blackburn because Brichard teaches to act on the gaseous atmosphere above the flat glass in such a way as to bring about a heat distribution which is more favorable to the desired result of avoiding or reducing defects in the geometry of the upper face of the flat glass (1:63-67).

It would have been obvious to one of ordinary skill in the art at the time of the invention to have applied the nitrogen atmosphere of Jeanvoine to the invention disclosed by Speit in view of Blackburn. The motivation to do so, disclosed by Jeanvoine, would have been the rationale

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that a non-oxidizing atmosphere above the melt will prevent the furnace walls of the float plant from oxidizing (paragraph 11).

Speit in view of Blackburn do not disclose the density of the lead oxide glass.

However, Direct Scientific, which discloses a lead oxide glass comprising at least 55 percent lead oxide, teaches that the minimum density is 4.36 (Properties).

It would have been obvious to one of ordinary skill in the art at the time of the invention to have combined Speit in view of Blackburn with Direct Scientific since both Speit in view of Blackburn and Direct Scientific teach lead oxide glass. Therefore, it would follow that since both teach lead oxide glass, and since the density of lead oxide glass is primarily based on the density of lead oxide, that the properties of the glass, specifically density, would be the same.

6. Claims 12-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cross in view of Jeanvoine as applied to claims 1-3, 5-8, 11 and 19 above, and further in view of WO 03/045859 (Maugendre hereinafter).

US Patent 7,428,827 is used as an English Language translation of WO 03/045859. All citations refer to US Patent 7,428,827.

**Regarding claims 12-14**, Jeanvoine teaches a first and a second tank in a series (Fig. 1, “2” and “9”), but does not disclose that the second tank is fed with lead oxide.

Maugendre also teaches a float plant which includes a furnace with two compartments (Fig. 2, “1” and “2”). Maugendre does not teach that the second tank is fed with lead oxide, but Maugendre does teach that a composition is fed to the first tank which includes alumina, silica, alkali metals, alkaline-earth-metals and boron in their oxidized form and that a specific percent

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of float glass cullet is fed to the second tank (col. 9, lines 3-10 and 46-59). Maugendre teaches that the cullet used to feed the second tank comes from the flat glass industry and in this case is soda-lime-silica glass (col. 10, lines 1-3). The first tank is equipped with at least one submerged burner (co. 7, lines 54-56).

It would have been obvious to one of ordinary skill in the art at the time of the invention to have fed lead oxide in the second tank, since according to Maugendre the float glass cullet is added to the second tank and the batch materials are added to the first tank.

Maugendre also teaches that the electric furnace (module 1) is at a lower temperature than the submerged burner furnace (module 2) (col. 10, lines 14-17).

It further would have been obvious to one of ordinary skill in the art at the time of the invention to have combined the teachings of Maugendre with Jeanvoine since two melting modules using different technologies allows the greatest benefit to be derived from their advantages: on the one hand, use is made of the reliability of a proven industrial solution (electric melting, fuel-fired furnace), and of the quality of the glass obtained therewith, and, on the other hand, the high efficiency, the great flexibility of use, and the less stringent requirement in terms of the materials that can be melted of a submerged-burner melting mode is also enjoyed. Their complementing natures are played off against each other (col. 8, lines 58-67).

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### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to YANA BELYAEV whose telephone number is (571)270-7662.

The examiner can normally be reached on M-Th 8:30am - 6pm; F 8:30 am- 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Daniels can be reached on (571) 272-2450. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Y. B./

Examiner, Art Unit 1741

/Matthew J. Daniels/

Supervisory Patent Examiner, Art Unit 1741